

## Dealing Form

Use this form to request subscriptions, redemptions and exchanges

**For assistance in completing this form, please contact us at +353 1 241 7130**

### Important Information:

1. In accordance with UCITs IV Directives, investors need to have received and read the Key Investor Information Document (KIID) for the relevant fund before completing and submitting this form. The KIID can be obtained from [www.sanlam.ie](http://www.sanlam.ie) or from your broker or adviser. Investors in FSB Section 65 approved funds can obtain MDD documents and information about EAC from [www.sanlam.ie](http://www.sanlam.ie)
2. Completed forms can be sent by fax or post to the details included below.

Fax this completed form to:

**Sanlam Universal Funds Plc**

Attn: Sanlam Shareholder Services, Brown Brothers Harriman Fund Administration Services (Ireland) Limited Fax: + 353 1 241 7131

Or alternatively mail it to:

**Sanlam Universal Funds Plc**

C/o Brown Brothers Harriman Fund Administration Services (Ireland) Limited 30 Herbert Street, Dublin 2, Ireland

3. Any amendments to an investor's registration details or payment instructions will only be effected on receipt of appropriate original documentation.  
Per the Central Bank of Ireland's requirement payment of redemption proceeds will not be released until accounts are fully cleared and verified.
4. Payment for shares applied for should only be made by electronic transfer to the appropriate bank account listed on Page 4.
5. Details of ISINs, Share class names etc. are detailed on page 5. Further information regarding each share class can be obtained from the relevant supplement. If there are any questions please contact us on the number de- tailed at the top of this page.
6. Please refer to the fund supplement for information regarding the settlement date of subscriptions and redemptions.
7. Please also note that there are alternative dealing methods available, please contact us at

Managed by Sanlam Asset Management (Ireland) Limited. Sanlam Asset Management (Ireland) Limited is authorised and regulated by the Central Bank of Ireland as a UCITS IV Management Company and an Alternative Investment Fund Manager, and is licensed as a Financial Service Provider in terms of Section 8 of the South African FAIS Act of 2002.

Incorporated in Ireland • Registered Number 267640 • Beech House, Beech Hill Road, Dublin 4, Ireland Tel: + 353 1 205 3510  
Fax: + 353 1 205 3521 E-mail: [intouch@sanlam.ie](mailto:intouch@sanlam.ie)

# Investor Account Details

Account Number	<input type="text"/>
Shareholder/Authorised Signer Name	<input type="text"/>
Joint Shareholder Name(s) <i>Applicable to joint investors only</i>	<input type="text"/>
Legal/Registered Address (line 1)	<input type="text"/>
Legal/Registered Address (line 2)	<input type="text"/>

Money will only be paid to the registered account holders. Please note that no third party payments will be made. No redemptions will be permitted until the Administrator receives the original Subscription Agreement.

## **BANK ACCOUNT DETAILS FOR PAYMENT OF REDEMPTION PROCEEDS**

***ONLY NEEDS TO BE COMPLETED IF REDEMPTION PROCEEDS ARE TO BE PAID TO BANK DETAILS OTHER THAN THOSE SPECIFIED AT TIME OF ACCOUNT OPENING***

Name of Bank:	<input type="text"/>
Swift (all except GBP):	<input type="text"/>
Sort Code (GBP only): ABA:	<input type="text"/>
Beneficiary Account Number:	<input type="text"/>
Currency:	<input type="text"/>
Beneficiary Name:	<input type="text"/>
Bank Address:	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
Corresponding Bank:	<input type="text"/>
Corresponding Bank Swift:	<input type="text"/>
IBAN:	<input type="text"/>

I/we acknowledge that all payments will be made to the account of the shareholder.

- I/we acknowledge that wiring instructions different from the Subscription Agreement may require further documentation, such as written documentation from the shareholder. This may cause a delay in processing the redemption.
- I/we have reviewed the prospectus and supplements for the time deadlines for the processing of redemptions.

### **AUTHORISED SIGNATURES**

NAME (PLEASE PRINT) SIGNATURE

NAME (PLEASE PRINT) SIGNATURE

DATE DATE

# Trade Details

## SUBSCRIPTIONS:

PLEASE INDICATE THE FUND AND NUMBER OF SHARES, OR THE AMOUNT TO BE PURCHASED:

Fund name	Class	ISIN	Cash Amount	Share Amount

Details of share classes, minimum initial investment required and fees for each class are set out in the prospectus and fund supplement. Where the amount is not in the currency of the Fund, the monies will be converted to that currency by the Registrar and Transfer Agent at the rate applicable on the Dealing Day.

### Commission Instructions for Subscriptions-

Pay % (max 5%) commission and apply the balance available in purchasing shares

## REDEMPTIONS:

PLEASE INDICATE THE NUMBER OF SHARES, OR THE AMOUNT TO BE REDEEMED:

Fund name	Class	ISIN	Cash Amount	Share Amount

## EXCHANGES:

PLEASE INDICATE THE CASH OR UNIT AMOUNT TO BE EXCHANGED:

Exchange from					Exchange to	
Fund Name	ISIN	Currency	No of Shares	Cash Value	Fund Name	ISIN

## SANLAM UNIVERSAL FUNDS PLC: BANK ACCOUNT DETAILS

**Currency:** USD  
**Intermediary Bank:** Citibank N.A., New York  
**Swift Address:** CITIUS33  
**Account No.:** 09250276  
**ABA:** 021000089  
**Beneficiary Bank:** Brown Brothers Harriman & Co.  
**Swift Code:** BBHCUS33  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds Plc Sub&Red Account  
**Reference:** (Insert Name of Applicant)

**Currency:** EUR  
**Bank:** HSBC, France S.A  
**Swift Address:** CCFRFRPP  
**IBAN:** FR7630056000100010000512237  
**Account Name:** Brown Brothers Harriman & Co.  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds Plc Sub&Red Account  
**Reference:** (Insert name of Applicant)

**Currency:** AUD  
**Bank:** Westpac Banking Corporation  
**Swift Address:** WPACAU2S  
**Account No.:** BBH0001971  
**Account Name:** Brown Brothers Harriman & Co.  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds Plc Sub&Red Account  
**Reference:** (Insert name of Applicant)

**Currency:** ZAR  
**Bank:** Standard Chartered Bank Johannesburg  
**Swift Address:** SCBLZAJJ  
**Account No.:** 07587096300  
**Account Name:** Brown Brothers Harriman & Co.  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds Plc Sub&Red Account  
**Reference:** (Insert name of Applicant)

### **GBP – SWIFT**

**Currency:** GBP  
**Bank:** Barclays Bank plc, London  
**Swift Address:** BARCGB22  
**Sort Code:** 20-32-53  
**Account No.:** 53623157  
**Account Name:** Brown Brothers Harriman & Co.  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds PLC Sub&Red Account  
**Reference:** (Insert name of Applicant)

### **GBP – CHAPS**

**Currency:** GBP  
**Intermediary Bank:** Barclays Bank plc, London  
**BIC Code:** BARCGB22  
**CHAPS Sort Code:** 20-32-53  
**Bank Reference:** BBHCUS33  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds PLC Sub&Red Account  
**Reference:** (Insert name of Applicant)

## Fund List

Fund Name	Fund Class	Class Currency	ISIN	Mgt/AIFM Fee Rate	Hedged	Share Class Minimum	IM Fee
SANLAM ACTIVE UK FUND A GBP	A	GBP	IE00B1RPZ542	0.15%	No	£1,000	0.75%
SANLAM ACTIVE UK FUND B GBP	B*	GBP	IE00B1RPZ658	0.15%	No	£10,000	0.00%
SANLAM ACTIVE UK FUND B USD	B*	USD	IE00BZ01R064	0.15%	No	\$10000	0.00%
SANLAM ACTIVE UK FUND D GBP	D	GBP	IE00B84KL537	0.15%	No	£45,000,000	0.45%
SANLAM ACTIVE UK FUND I GBP	I	GBP	IE00B96PS188	0.15%	No	£1,000	0.75%
SANLAM GLOBAL VALUE A EURO	A	EURO	IE00B3Q60B35	0.15%	No	Euro 1,000	0.75%
SANLAM GLOBAL VALUE A GBP	A	GBP	IE00B5M48M23	0.15%	No	£1,000	0.75%
SANLAM GLOBAL VALUE A GBP - Distribution	A	GBP	IE00BZ0P2X63	0.15%	No	£1,000	0.75%
SANLAM GLOBAL VALUE A USD	A	USD	IE00B3P4WN45	0.15%	No	\$1000	0.75%
SANLAM GLOBAL VALUE B EURO	B*	EURO	IE00B61Y6X71	0.15%	No	Euro 10,000	0.00%
SANLAM GLOBAL VALUE B GBP	B*	GBP	IE00B5BN4999	0.15%	No	£10,000	0.00%
SANLAM GLOBAL VALUE B USD	B*	USD	IE00B65QBL21	0.15%	No	\$10,000	0.00%
SANLAM MULTI_STRATEGY H USD	H	USD	IE00B8BVF913	0.15%	Yes	\$1,000	0.75%
SANLAM MULTI-STRATEGY A EUR	A	EUR	IE00B83VWR62	0.15%	No	EUR 1,000	0.75%
SANLAM MULTI-STRATEGY A GBP	A	GBP	IE00B4QNL45	0.15%	No	£1,000	0.75%
SANLAM MULTI-STRATEGY A USD	A	USD	IE00B8N5WF63	0.15%	No	£1,000	0.75%
SANLAM MULTI-STRATEGY B EUR	B*	EUR	IE00B8KBRQ38	0.15%	No	EUR 10,000	0.00%
SANLAM MULTI-STRATEGY B GBP	B*	GBP	IE00B8N5CF67	0.15%	No	£10,000	0.00%
SANLAM MULTI-STRATEGY B USD	B*	USD	IE00B7Y3R463	0.15%	No	\$10,000	0.00%
SANLAM MULTI-STRATEGY C EUR	C	EUR	IE00B8NBKP09	0.15%	No	EUR 1,000	1.50%
SANLAM MULTI-STRATEGY C GBP	C	GBP	IE00B85KTN62	0.15%	No	£1,000	1.50%
SANLAM MULTI-STRATEGY A GBP - Distribution	A	GBP	IE00BZ0Y8793	0.15%	No	£1,000	0.75%
SANLAM MULTI-STRATEGY FOUNDER GBP	Founder*	GBP	IE00B8HRMZ88	0.15%	No	£1,000	0.50%
SANLAM MULTI-STRATEGY FOUNDER GBP – Distribution	Founder*	GBP	IE00BZ0Y8918	0.15%	No	£1,000	0.50%
SANLAM MULTI-STRATEGY SR Hedged USD	SR*	USD	IE00BG481Z37	0.15%	Yes	No	0.85%
SANLAM MULTI-STRATEGY SI Hedged USD	SI*	USD	IE00BG482052	0.15%	Yes	No	0.55%
SANLAM MULTI-STRATEGY I Hedged CHF	I	CHF	E00BJ5CB225	0.15%	Yes	CHF 1,000,000	1.01%
SANLAM REAL ASSETS A GBP – Distribution	A	GBP	IE00BDFDL125	0.15%	No	No	0.75%
SANLAM REAL ASSETS A GBP	A	GBP	E00BJ5CB555	0.15%	No	No	0.75%
SANLAM REAL ASSETS AH USD – Distribution	AH	USD	IE00BDFDLG76	0.15%	Yes	No	0.75%
SANLAM REAL ASSETS B GBP	B*	GBP	IE00BDFDLH83	0.15%	No	No	0.00%
SANLAM REAL ASSETS D GBP – Distribution	D*	GBP	IE00BDFDLJ08	0.15%	No	No	0.50%

Fund Name	Fund Class	Class Currency	ISIN	Mgt/AIFM Fee Rate	Hedged	Share Class Minimum	IM Fee
SANLAM REAL ASSETS D GBP	D*	GBP	IE00BJ5CB993	0.15%	No	No	0.50%
SANLAM REAL ASSETS SI USD	SI*	USD	IE00BG7P3228	0.15%	No	No	0.55%
SANLAM REAL ASSETS SR USD	SR*	USD	IE00BG7P3111	0.15%	No	No	0.85%
SANLAM STABLE GLOBAL CLASS A EUR	A	EUR	IE00B7F6TQ05	0.15%	No	EUR 1,000	0.75%
SANLAM STABLE GLOBAL CLASS A GBP	A	GBP	IE00B8HVVH114	0.15%	No	£1,000	0.75%
SANLAM STABLE GLOBAL CLASS A USD	A	USD	IE00B83TFX77	0.15%	No	\$1,000	0.75%
SANLAM STABLE GLOBAL CLASS B EUR	B*	EUR	IE00B79STG20	0.15%	No	EUR 10,000	0.00%
SANLAM STABLE GLOBAL CLASS B GBP	B*	GBP	IE00B7F8DC07	0.15%	No	£10,000	0.00%
SANLAM STABLE GLOBAL CLASS B USD	B*	USD	IE00B8HRY559	0.15%	No	\$10,000	0.00%
SANLAM STABLE GLOBAL CLASS C EUR	C	EUR	IE00B87WXD88	0.15%	No	EUR 1,000	1.50%
SANLAM STABLE GLOBAL CLASS C GBP	C	GBP	IE00B837WG37	0.15%	No	£1,000	1.50%
SANLAM STABLE GLOBAL CLASS C USD	C	USD	IE00B8HW9Z27	0.15%	No	\$1,000	1.50%
SANLAM STABLE GLOBAL A GBP – Distribution	A	GBP	IE00BZ0P2Y70	0.15%	No	£1,000	0.75%
SANLAM US DIVIDEND A EUR	A	EUR	IE00BVDQ2724	0.15%	No	EUR 1,000	0.75%
SANLAM US DIVIDEND A GBP	A	GBP	IE00BSP5ZY44	0.15%	No	1000	0.75%
SANLAM US DIVIDEND A USD	A	USD	IE00BVDQ2617	0.15%	No	\$1,000	0.75%
SANLAM US DIVIDEND B GBP	B*	GBP	IE00BSP60085	0.15%	No	£10,000	0.00%
SANLAM US DIVIDEND B USD	B*	USD	IE00BSP5ZZ50	0.15%	No	\$10,000	0.00%
SANLAM US DIVIDEND FUND H GBP	H	GBP	IE00BF0FCN67	0.15%	Yes	£1,000	0.75%
SANLAM US DIVIDEND FUND A EUR - Distribution	A	EUR	IE00BVDQ2948	0.15%	No	EUR 1,000	0.75%
SANLAM US DIVIDEND A GBP – Distribution	A	GBP	IE00BSP60192	0.15%	No	£1,000	0.75%
SANLAM US DIVIDEND A USD – Distribution	A	USD	IE00BVDQ2831	0.15%	No	\$1,000	0.75%
SANLAM US DIVIDEND H GBP - Distribution	H	GBP	IE00BF0FCP81	0.15%	Yes	£1,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND A GBP	A	GBP	IE00B97B4V77	0.15%	No	£300	1.60%
SANLAM GLOBAL HIGH QUALITY FUND I GBP	I	GBP	IE00BYV7PR98	0.15%	No	£1,000,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND I GBP - Distribution	I	GBP	IE00BYV7PQ81	0.15%	No	£1,000,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND I USD	IH	USD	IE00BZ1CS491	0.15%	No	\$1,000,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND G USD	G	USD	IE00BYV7PT13	0.15%	No	\$1,000,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND G USD - Distribution	G	USD	IE00BYV7PS06	0.15%	Yes	\$1,000,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND C GBP	C*	GBP	IE00BJJWHZ516	0.15%	No	£10,000,000	0.55%
SANLAM GLOBAL HIGH QUALITY FUND C GBP - Distribution	C*	GBP	IE00B97F9X63	0.15%	No	£10,000,000	0.55%
SANLAM GLOBAL HIGH QUALITY FUND D USD - Distribution	D*	USD	IE00B8Y85996	0.15%	No	\$10,000,000	0.75%

Fund Name	Fund Class	Class Currency	ISIN	Mgt/AIFM Fee Rate	Hedged	Share Class Minimum	IM Fee
SANLAM GLOBAL HIGH QUALITY FUND D USD	D*	USD	IE00BJWHZ623	0.15%	No	\$10,000,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND E USD	E	USD	IE00B9GFG036	0.15%	No	\$10,000,000	1.25%
SANLAM GLOBAL HIGH QUALITY FUND E USD - Distribution	E	USD	IE00B89R3313	0.15%	No	\$10,000,000	1.25%
SANLAM GLOBAL HIGH QUALITY FUND SR USD – Hedged	SR*	USD	IE00BJ5CBK04	0.15%	Yes	No	0.95%
SANLAM GLOBAL HIGH QUALITY FUND SI USD – Hedged	SI*	USD	E00BJ5CBL11	0.15%	Yes	No	0.65%

\* Separate agreement with IM required in order to accept subscription.