

# Dealing Form

Use this form to request subscriptions, redemptions and exchanges

**For assistance in completing this form, please contact us at +353 1 241 7130**

## Important Information:

1. In accordance with UCITs IV Directives, investors need to have received and read the Key Investor Information Document (KIID) for the relevant fund before completing and submitting this form. The KIID can be obtained from [www.sanlam.ie](http://www.sanlam.ie) or from your broker or adviser. Investors in FSB Section 65 approved funds can obtain MDD documents and information about EAC from [www.sanlam.ie](http://www.sanlam.ie)
2. Completed forms can be sent by fax or post to the details included below.

Fax this completed form to:

### Sanlam Universal Funds Plc

Attn: Sanlam Shareholder Services, Brown Brothers Harriman Fund Administration Services (Ireland) Limited Fax: + 353 1 241 7131

Or alternatively mail it to:

### Sanlam Universal Funds Plc

C/o Brown Brothers Harriman Fund Administration Services (Ireland) Limited 30 Herbert Street, Dublin 2, Ireland

3. Any amendments to an investor's registration details or payment instructions will only be effected on receipt of appropriate original documentation.  
Per the Central Bank of Ireland's requirement payment of redemption proceeds will not be released until accounts are fully cleared and verified.
4. Payment for shares applied for should only be made by electronic transfer to the appropriate bank account listed on Page 4.
5. Details of ISINs, Share class names etc. are detailed on page 5. Further information regarding each share class can be obtained from the relevant supplement. If there are any questions please contact us on the number de- tailed at the top of this page.
6. Please refer to the fund supplement for information regarding the settlement date of subscriptions and redemptions.
7. Please also note that there are alternative dealing methods available, please contact us at

Managed by Sanlam Asset Management (Ireland) Limited. Sanlam Asset Management (Ireland) Limited is authorised and regulated by the Central Bank of Ireland as a UCITS IV Management Company and an Alternative Investment Fund Manager, and is licensed as a Financial Service Provider in terms of Section 8 of the South African FAIS Act of 2002.

Incorporated in Ireland • Registered Number 267640 • Beech House, Beech Hill Road, Dublin 4, Ireland Tel: + 353 1 205 3510  
Fax: + 353 1 205 3521 E-mail: [intouch@sanlam.ie](mailto:intouch@sanlam.ie)

# Investor Account Details

Account Number	<input type="text"/>
Shareholder/Authorised Signer Name	<input type="text"/>
Joint Shareholder Name(s) <i>Applicable to joint investors only</i>	<input type="text"/>
Legal/Registered Address (line 1)	<input type="text"/>
Legal/Registered Address (line 2)	<input type="text"/>

Money will only be paid to the registered account holders. Please note that no third party payments will be made. No redemptions will be permitted until the Administrator receives the original Subscription Agreement.

## **BANK ACCOUNT DETAILS FOR PAYMENT OF REDEMPTION PROCEEDS**

***ONLY NEEDS TO BE COMPLETED IF REDEMPTION PROCEEDS ARE TO BE PAID TO BANK DETAILS OTHER THAN THOSE SPECIFIED AT TIME OF ACCOUNT OPENING***

Name of Bank:	<input type="text"/>
Swift (all except GBP):	<input type="text"/>
Sort Code (GBP only): ABA:	<input type="text"/>
Beneficiary Account Number:	<input type="text"/>
Currency:	<input type="text"/>
Beneficiary Name:	<input type="text"/>
Bank Address:	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
Corresponding Bank:	<input type="text"/>
Corresponding Bank Swift:	<input type="text"/>
IBAN:	<input type="text"/>

I/we acknowledge that all payments will be made to the account of the shareholder.

- I/we acknowledge that wiring instructions different from the Subscription Agreement may require further documentation, such as written documentation from the shareholder. This may cause a delay in processing the redemption.
- I/we have reviewed the prospectus and supplements for the time deadlines for the processing of redemptions.

### **AUTHORISED SIGNATURES**

NAME (PLEASE PRINT) SIGNATURE

NAME (PLEASE PRINT) SIGNATURE

DATE DATE

# Trade Details

## SUBSCRIPTIONS:

PLEASE INDICATE THE FUND AND NUMBER OF SHARES, OR THE AMOUNT TO BE PURCHASED:

Fund name	Class	ISIN	Cash Amount	Share Amount

Details of share classes, minimum initial investment required and fees for each class are set out in the prospectus and fund supplement. Where the amount is not in the currency of the Fund, the monies will be converted to that currency by the Registrar and Transfer Agent at the rate applicable on the Dealing Day.

### Commission Instructions for Subscriptions-

Pay % (max 5%) commission and apply the balance available in purchasing shares

## REDEMPTIONS:

PLEASE INDICATE THE NUMBER OF SHARES, OR THE AMOUNT TO BE REDEEMED:

Fund name	Class	ISIN	Cash Amount	Share Amount

## EXCHANGES:

PLEASE INDICATE THE CASH OR UNIT AMOUNT TO BE EXCHANGED:

Exchange from					Exchange to	
Fund Name	ISIN	Currency	No of Shares	Cash Value	Fund Name	ISIN

## **SANLAM UNIVERSAL FUNDS PLC: BANK ACCOUNT DETAILS**

**Currency:** USD  
**Intermediary Bank:** Citibank N.A., New York  
**Swift Address:** CITIUS33  
**Account No.:** 09250276  
**ABA:** 021000089  
**Beneficiary Bank:** Brown Brothers Harriman & Co.  
**Swift Code:** BBHCUS33  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds Plc Sub&Red Account  
**Reference:** (Insert Name of Applicant)

**Currency:** EUR  
**Bank:** HSBC, France S.A  
**Swift Address:** CCFRFRPP  
**IBAN:** FR7630056000100010000512237  
**Account Name:** Brown Brothers Harriman & Co.  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds Plc Sub&Red Account  
**Reference:** (Insert name of Applicant)

**Currency:** AUD  
**Bank:** Westpac Banking Corporation  
**Swift Address:** WPACAU2S  
**Account No.:** BBH0001971  
**Account Name:** Brown Brothers Harriman & Co.  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds Plc Sub&Red Account  
**Reference:** (Insert name of Applicant)

**Currency:** ZAR  
**Bank:** Standard Chartered Bank Johannesburg  
**Swift Address:** SCBLZAJJ  
**Account No.:** 07587096300  
**Account Name:** Brown Brothers Harriman & Co.  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds Plc Sub&Red Account  
**Reference:** (Insert name of Applicant)

### **GBP – SWIFT**

**Currency:** GBP  
**Bank:** Barclays Bank plc, London  
**Swift Address:** BARCGB22  
**Sort Code:** 20-32-53  
**Account No.:** 53623157  
**Account Name:** Brown Brothers Harriman & Co.  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds PLC Sub&Red Account  
**Reference:** (Insert name of Applicant)

### **GBP – CHAPS**

**Currency:** GBP  
**Intermediary Bank:** Barclays Bank plc, London  
**BIC Code:** BARCGB22  
**CHAPS Sort Code:** 20-32-53  
**Bank Reference:** BBHCUS33  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds PLC Sub&Red Account  
**Reference:** (Insert name of Applicant)

## Fund List

Fund Name	Fund Class	Class Currency	ISIN	Hedged	Share Class Minimum	AMC
SANLAM ACTIVE UK FUND A GBP	A	GBP	IE00B1RPZ542	No	£1,000	0.75%
SANLAM ACTIVE UK FUND B GBP	B*	GBP	IE00B1RPZ658	No	£10,000	0.00%
SANLAM ACTIVE UK FUND B USD	B*	USD	IE00BZ01R064	No	\$10000	0.00%
SANLAM ACTIVE UK FUND D GBP	D	GBP	IE00B84KL537	No	£45,000,000	0.45%
SANLAM ACTIVE UK FUND I GBP	I	GBP	IE00B96PS188	No	£1,000	0.75%
SANLAM GLOBAL VALUE A EURO	A	EURO	IE00B3Q60B35	No	Euro 1,000	0.75%
SANLAM GLOBAL VALUE A GBP	A	GBP	IE00B5M48M23	No	£1,000	0.75%
SANLAM GLOBAL VALUE A GBP - Distribution	A	GBP	IE00BZ0P2X63	No	£1,000	0.75%
SANLAM GLOBAL VALUE A USD	A	USD	IE00B3P4WN45	No	\$1000	0.75%
SANLAM GLOBAL VALUE B EURO	B*	EURO	IE00B61Y6X71	No	Euro 10,000	0.00%
SANLAM GLOBAL VALUE B GBP	B*	GBP	IE00B5BN4999	No	£10,000	0.00%
SANLAM GLOBAL VALUE B USD	B*	USD	IE00B65QBL21	No	\$10,000	0.00%
SANLAM MULTI_STRATEGY H USD	H	USD	IE00B8BVF913	Yes	\$1,000	0.75%
SANLAM MULTI-STRATEGY A EUR	A	EUR	IE00B83VWR62	No	EUR 1,000	0.75%
SANLAM MULTI-STRATEGY A GBP	A	GBP	IE00B4QNL45	No	£1,000	0.75%
SANLAM MULTI-STRATEGY A USD	A	USD	IE00B8N5WF63	No	£1,000	0.75%
SANLAM MULTI-STRATEGY B EUR	B*	EUR	IE00B8KBRQ38	No	EUR 10,000	0.00%
SANLAM MULTI-STRATEGY B GBP	B*	GBP	IE00B8N5CF67	No	£10,000	0.00%
SANLAM MULTI-STRATEGY B USD	B*	USD	IE00B7Y3R463	No	\$10,000	0.00%
SANLAM MULTI-STRATEGY C EUR	C	EUR	IE00B8NBKP09	No	EUR 1,000	1.50%
SANLAM MULTI-STRATEGY C GBP	C	GBP	IE00B85KTN62	No	£1,000	1.50%
SANLAM MULTI-STRATEGY A GBP - Distribution	A	GBP	IE00BZ0Y8793	No	£1,000	0.75%
SANLAM MULTI-STRATEGY FOUNDER GBP	Founder*	GBP	IE00B8HRMZ88	No	£1,000	0.50%
SANLAM MULTI-STRATEGY FOUNDER GBP – Distribution	Founder*	GBP	IE00BZ0Y8918	No	£1,000	0.50%
SANLAM MULTI-STRATEGY SR Hedged USD	SR*	USD	IE00BG481Z37	Yes	No	0.85%
SANLAM MULTI-STRATEGY SI Hedged USD	SI*	USD	IE00BG482052	Yes	No	0.55%
SANLAM MULTI-STRATEGY I Hedged CHF	I	CHF	E00BJ5CB225	Yes	CHF 1,000,000	1.01%
SANLAM REAL ASSETS A GBP – Distribution	A	GBP	IE00BDFDL125	No	No	0.75%
SANLAM REAL ASSETS A GBP	A	GBP	E00BJ5CB555	No	No	0.75%
SANLAM REAL ASSETS AH USD – Distribution	AH	USD	IE00BDFDLG76	Yes	No	0.75%
SANLAM REAL ASSETS B GBP	B*	GBP	IE00BDFDLH83	No	No	0.00%
SANLAM REAL ASSETS D GBP – Distribution	D*	GBP	IE00BDFDLJ08	No	No	0.50%

Fund Name	Fund Class	Class Currency	ISIN	Hedged	Share Class Minimum	AMC
SANLAM REAL ASSETS D GBP	D*	GBP	IE00BJ5CB993	No	No	0.50%
SANLAM REAL ASSETS SI USD	SI*	USD	IE00BG7P3228	No	No	0.55%
SANLAM REAL ASSETS SR USD	SR*	USD	IE00BG7P3111	No	No	0.85%
SANLAM REAL ASSETS AH EUR	AH	EUR	IE00BJ5CB662	Yes	No	0.75%
SANLAM REAL ASSETS AH CHF	AH	CHF	IE00BJ5CB779	Yes	No	0.75%
SANLAM STABLE GLOBAL CLASS A EUR	A	EUR	IE00B7F6TQ05	No	EUR 1,000	0.75%
SANLAM STABLE GLOBAL CLASS A GBP	A	GBP	IE00B8HVVH114	No	£1,000	0.75%
SANLAM STABLE GLOBAL CLASS A USD	A	USD	IE00B83TFX77	No	\$1,000	0.75%
SANLAM STABLE GLOBAL CLASS B EUR	B*	EUR	IE00B79STG20	No	EUR 10,000	0.00%
SANLAM STABLE GLOBAL CLASS B GBP	B*	GBP	IE00B7F8DC07	No	£10,000	0.00%
SANLAM STABLE GLOBAL CLASS B USD	B*	USD	IE00B8HRYS59	No	\$10,000	0.00%
SANLAM STABLE GLOBAL CLASS C EUR	C	EUR	IE00B87WXD88	No	EUR 1,000	1.50%
SANLAM STABLE GLOBAL CLASS C GBP	C	GBP	IE00B837WG37	No	£1,000	1.50%
SANLAM STABLE GLOBAL CLASS C USD	C	USD	IE00B8HW9Z27	No	\$1,000	1.50%
SANLAM STABLE GLOBAL A GBP – Distribution	A	GBP	IE00BZ0P2Y70	No	£1,000	0.75%
SANLAM US DIVIDEND A EUR	A	EUR	IE00BVDQ2724	No	EUR 1,000	0.75%
SANLAM US DIVIDEND A GBP	A	GBP	IE00BSP5ZY44	No	1000	0.75%
SANLAM US DIVIDEND A USD	A	USD	IE00BVDQ2617	No	\$1,000	0.75%
SANLAM US DIVIDEND B GBP	B*	GBP	IE00BSP60085	No	£10,000	0.00%
SANLAM US DIVIDEND B USD	B*	USD	IE00BSP5ZZ50	No	\$10,000	0.00%
SANLAM US DIVIDEND FUND H GBP	H	GBP	IE00BF0FCN67	Yes	£1,000	0.75%
SANLAM US DIVIDEND FUND A EUR - Distribution	A	EUR	IE00BVDQ2948	No	EUR 1,000	0.75%
SANLAM US DIVIDEND A GBP – Distribution	A	GBP	IE00BSP60192	No	£1,000	0.75%
SANLAM US DIVIDEND A USD – Distribution	A	USD	IE00BVDQ2831	No	\$1,000	0.75%
SANLAM US DIVIDEND H GBP - Distribution	H	GBP	IE00BF0FCP81	Yes	£1,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND A GBP	A	GBP	IE00B97B4V77	No	£300	1.60%
SANLAM GLOBAL HIGH QUALITY FUND I GBP	I	GBP	IE00BYV7PR98	No	£1,000,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND I GBP - Distribution	I	GBP	IE00BYV7PQ81	No	£1,000,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND I USD	IH	USD	IE00BZ1CS491	No	\$1,000,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND G USD	G	USD	IE00BYV7PT13	No	\$1,000,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND G USD - Distribution	G	USD	IE00BYV7PS06	Yes	\$1,000,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND C GBP	C*	GBP	IE00BJWHZ516	No	£10,000,000	0.55%
SANLAM GLOBAL HIGH QUALITY FUND C GBP - Distribution	C*	GBP	IE00B97F9X63	No	£10,000,000	0.55%
SANLAM GLOBAL HIGH QUALITY FUND D USD - Distribution	D*	USD	IE00B8Y85996	No	\$10,000,000	0.75%

Fund Name	Fund Class	Class Currency	ISIN	Hedged	Share Class Minimum	AMC
SANLAM GLOBAL HIGH QUALITY FUND D USD	D*	USD	IE00BJWHZ623	No	\$10,000,000	0.75%
SANLAM GLOBAL HIGH QUALITY FUND E USD	E	USD	IE00B9GFG036	No	\$10,000,000	1.25%
SANLAM GLOBAL HIGH QUALITY FUND E USD - Distribution	E	USD	IE00B89R3313	No	\$10,000,000	1.25%
SANLAM GLOBAL HIGH QUALITY FUND SR USD - Hedged	SR*	USD	IE00BJ5CBK04	Yes	No	0.85%
SANLAM GLOBAL HIGH QUALITY FUND SI USD - Hedged	SI*	USD	E00BJ5CBL11	Yes	No	0.55%
SANLAM US ABSOLUTE RETURN FUND - AH	AH	GBP	IE00BJP0CH87	Yes	No	0.75%
SANLAM US ABSOLUTE RETURN FUND - AH	AH	EUR	IE00BJP0CJ02	Yes	No	0.75%
SANLAM US ABSOLUTE RETURN FUND - A	A	USD	IE00BJP0CK17	No	No	0.75%
SANLAM US ABSOLUTE RETURN FUND - BH	BH*	GBP	IE00BJP0CL24	Yes	No	0.00%
SANLAM US ABSOLUTE RETURN FUND - B	B*	USD	IE00BJP0CM31	No	No	0.00%
SANLAM US ABSOLUTE RETURN FUND - Founder Hedged	Founder	GBP	IE00BJP0CT00	Yes	£50,000,000	0.50%
SANLAM US ABSOLUTE RETURN FUND - Founder	Founder	USD	IE00BJP0CV22	No	\$50,000,000	0.50%

\* Separate agreement with IM required in order to accept subscription.