

## Dealing Form

Use this form to request subscriptions, redemptions and exchanges

**For assistance in completing this form, please contact us at +353 1 241 7130**

### Important Information:

1. In accordance with UCITs IV Directives, investors need to have received and read the Key Investor Information Document (KIID) for the relevant fund before completing and submitting this form. The KIID can be obtained from [www.sanlam.ie](http://www.sanlam.ie) or from your broker or adviser. Investors in FSB Section 65 approved funds can obtain MDD documents and information about EAC from [www.sanlam.ie](http://www.sanlam.ie)
2. Completed forms can be sent by fax or post to the details included below.

Fax this completed form to:

**Sanlam Universal Funds Plc**

Attn: Sanlam Shareholder Services, Brown Brothers Harriman Fund Administration Services (Ireland) Limited Fax: + 353 1 241 7131

Or alternatively mail it to:

**Sanlam Universal Funds Plc**

C/o Brown Brothers Harriman Fund Administration Services (Ireland) Limited 30 Herbert Street, Dublin 2, Ireland

3. Any amendments to an investor's registration details or payment instructions will only be effected on receipt of appropriate original documentation.  
Per the Central Bank of Ireland's requirement payment of redemption proceeds will not be released until accounts are fully cleared and verified.
4. Payment for shares applied for should only be made by electronic transfer to the appropriate bank account listed on Page 4.
5. Details of ISINs, Share class names etc. are detailed on page 5. Further information regarding each share class can be obtained from the relevant supplement. If there are any questions please contact us on the number de- tailed at the top of this page.
6. Please refer to the fund supplement for information regarding the settlement date of subscriptions and redemptions.
7. Please also note that there are alternative dealing methods available, please contact us at

Managed by Sanlam Asset Management (Ireland) Limited. Sanlam Asset Management (Ireland) Limited is authorised and regulated by the Central Bank of Ireland as a UCITS IV Management Company and an Alternative Investment Fund Manager, and is licensed as a Financial Service Provider in terms of Section 8 of the South African FAIS Act of 2002.

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# Investor Account Details

Account Number

Shareholder/Authorised Signer Name

Joint Shareholder Name(s)

*Applicable to joint investors only*

Legal/Registered Address (line 1)

Legal/Registered Address (line 2)

Money will only be paid to the registered account holders. Please note that no third party payments will be made. No redemptions will be permitted until the Administrator receives the original Subscription Agreement.

## **BANK ACCOUNT DETAILS FOR PAYMENT OF REDEMPTION PROCEEDS**

***ONLY NEEDS TO BE COMPLETED IF REDEMPTION PROCEEDS ARE TO BE PAID TO BANK DETAILS OTHER THAN THOSE SPECIFIED AT TIME OF ACCOUNT OPENING***

Name of Bank:

Swift (all except GBP):

Sort Code (GBP only): ABA:

Beneficiary Account Number:

Currency:

Beneficiary Name:

Bank Address:

Corresponding Bank:

Corresponding Bank Swift:

IBAN:

I/we acknowledge that all payments will be made to the account of the shareholder.

- I/we acknowledge that wiring instructions different from the Subscription Agreement may require further documentation, such as written documentation from the shareholder. This may cause a delay in processing the redemption.
- I/we have reviewed the prospectus and supplements for the time deadlines for the processing of redemptions.

### AUTHORISED SIGNATURES

NAME (PLEASE PRINT)

SIGNATURE

NAME (PLEASE PRINT)

SIGNATURE

DATE

DATE

# Trade Details

## SUBSCRIPTIONS:

PLEASE INDICATE THE FUND AND NUMBER OF SHARES, OR THE AMOUNT TO BE PURCHASED:

Fund name	Class	ISIN	Cash Amount	Share Amount

Details of share classes, minimum initial investment required and fees for each class are set out in the prospectus and fund supplement. Where the amount is not in the currency of the Fund, the monies will be converted to that currency by the Registrar and Transfer Agent at the rate applicable on the Dealing Day.

### Commission Instructions for Subscriptions-

Pay % (max 5%) commission and apply the balance available in purchasing shares

## REDEMPTIONS:

PLEASE INDICATE THE NUMBER OF SHARES, OR THE AMOUNT TO BE REDEEMED:

Fund name	Class	ISIN	Cash Amount	Share Amount

## EXCHANGES:

PLEASE INDICATE THE CASH OR UNIT AMOUNT TO BE EXCHANGED:

Exchange from					Exchange to	
Fund Name	ISIN	Currency	No of Shares	Cash Value	Fund Name	ISIN

## SANLAM UNIVERSAL FUNDS PLC: BANK ACCOUNT DETAILS

**Currency:** USD  
**Intermediary Bank:** Citibank N.A., New York  
**Swift Address:** CITIUS33  
**Account No.:** 09250276  
**ABA:** 021000089  
**Beneficiary Bank:** Brown Brothers Harriman & Co.  
**Swift Code:** BBHCUS33  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds Plc Sub&Red Account  
**Reference:** (Insert Name of Applicant)

**Currency:** EUR  
**Bank:** HSBC, London  
**Swift Address:** MIDLGB22  
**IBAN:** GB49MIDL40051537860431  
**Account No.:** 37860431  
**Account Name:** Brown Brothers Harriman & Co.  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds Plc Sub&Red Account  
**Reference:** (Insert name of Applicant)

**Currency:** AUD  
**Bank:** Westpac Banking Corporation  
**Swift Address:** WPACAU2S  
**Account No.:** BBH0001971  
**Account Name:** Brown Brothers Harriman & Co.  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds Plc Sub&Red Account  
**Reference:** (Insert name of Applicant)

**Currency:** ZAR  
**Bank:** Standard Chartered Bank Johannesburg  
**Swift Address:** SCBLZAJJ  
**Account No.:** 07587096300  
**Account Name:** Brown Brothers Harriman & Co.  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds Plc Sub&Red Account  
**Reference:** (Insert name of Applicant)

### **GBP – SWIFT**

**Currency:** GBP  
**Bank:** Barclays Bank plc, London  
**Swift Address:** BARCGB22  
**Sort Code:** 20-32-53  
**Account No.:** 53623157  
**Account Name:** Brown Brothers Harriman & Co.  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds PLC Sub&Red Account  
**Reference:** (Insert name of Applicant)

### **GBP – CHAPS**

**Currency:** GBP  
**Intermediary Bank:** Barclays Bank plc, London  
**BIC Code:** BARCGB22  
**CHAPS Sort Code:** 20-32-53  
**Bank Reference:** BBHCUS33  
**Beneficiary Account:** 6401889  
**Beneficiary Account Name:** Sanlam Universal Funds PLC Sub&Red Account  
**Reference:** (Insert name of Applicant)

## Fund List

Fund Name	Fund Class	Class Currency	ISIN	Mgt/AIFM Fee Rate	Hedged	Share class Level Minimum	IM Fee
Sanlam FOUR ACTIVE UK EQUITY FUND CLASS A GBP	A	GBP	IE00B1RPZ542	0.15%	No	£1,000	0.75%
Sanlam FOUR ACTIVE UK EQUITY FUND CLASS B GBP	B*	GBP	IE00B1RPZ658	0.15%	No	£10,000	0.00%
Sanlam FOUR ACTIVE UK EQUITY FUND CLASS B USD	B*	USD	IE00BZ01R064	0.15%	No	\$10000	0.00%
Sanlam FOUR ACTIVE UK EQUITY FUND CLASS D GBP	D	GBP	IE00B84KL537	0.15%	No	£45,000,000	0.45%
Sanlam FOUR ACTIVE UK EQUITY FUND CLASS I GBP	I	GBP	IE00B96PS188	0.15%	No	£1,000	0.75%
Sanlam FOUR GLOBAL EQUITY CLASS A EURO	A	EURO	IE00B3Q60B35	0.15%	No	Euro 1,000	0.75%
Sanlam FOUR GLOBAL EQUITY CLASS A GBP	A	GBP	IE00B5M48M23	0.15%	No	£1,000	0.75%
Sanlam FOUR GLOBAL EQUITY CLASS A GBP - DISTRIBUTION	A	GBP	IE00BZ0P2X63	0.15%	No	£1,000	0.75%
Sanlam FOUR GLOBAL EQUITY CLASS A USD	A	USD	IE00B3P4WN45	0.15%	No	\$1000	0.75%
Sanlam FOUR GLOBAL EQUITY CLASS B EURO	B*	EURO	IE00B61Y6X71	0.15%	No	Euro 10,000	0.00%
Sanlam FOUR GLOBAL EQUITY CLASS B GBP	B*	GBP	IE00B5BN4999	0.15%	No	£10,000	0.00%
Sanlam FOUR GLOBAL EQUITY CLASS B USD	B*	USD	IE00B65QBL21	0.15%	No	\$10,000	0.00%
Sanlam FOUR MULTI_STRATEGY CLASS H USD	H	USD	IE00B8BVF913	0.15%	Yes	\$1,000	0.75%
Sanlam FOUR MULTI-STRATEGY CLASS A EUR	A	EUR	IE00B83VWR62	0.15%	No	EUR 1,000	0.75%
Sanlam FOUR MULTI-STRATEGY CLASS A GBP	A	GBP	IE00B4QNLR45	0.15%	No	£1,000	0.75%
Sanlam FOUR MULTI-STRATEGY CLASS A USD	A	USD	IE00B8N5WF63	0.15%	No	£1,000	0.75%
Sanlam FOUR MULTI-STRATEGY CLASS B EUR	B*	EUR	IE00B8KBRQ38	0.15%	No	EUR 10,000	0.00%
Sanlam FOUR MULTI-STRATEGY CLASS B GBP	B*	GBP	IE00B8N5CF67	0.15%	No	£10,000	0.00%
Sanlam FOUR MULTI-STRATEGY CLASS B USD	B*	USD	IE00B7Y3R463	0.15%	No	\$10,000	0.00%
Sanlam FOUR MULTI-STRATEGY CLASS C EUR	C	EUR	IE00B8NBKP09	0.15%	No	EUR 1,000	1.50%
Sanlam FOUR MULTI-STRATEGY CLASS C GBP	C	GBP	IE00B85KTN62	0.15%	No	£1,000	1.50%
Sanlam FOUR MULTI-STRATEGY DISTRIBUTION CLASS A GBP	A	GBP	IE00BZ0Y8793	0.15%	No	£1,000	0.75%
Sanlam FOUR MULTI-STRATEGY FOUNDER Acc CLASS GBP	Founder*	GBP	IE00B8HRMZ88	0.15%	No	£1,000	0.50%
Sanlam FOUR MULTI-STRATEGY FOUNDER Inc CLASS GBP	Founder*	GBP	IE00BZ0Y8918	0.15%	No	£1,000	0.50%
SANLAM REAL ASSETS FUND A INC GBP	A	GBP	IE00BDFDL125	0.15%	No	No	0.75%
SANLAM REAL ASSETS FUND AH INC USD	AH	USD	IE00BDFDLG76	0.15%	Yes	No	0.75%
SANLAM REAL ASSETS FUND B GBP ACC	B*	GBP	IE00BDFDLH83	0.15%	No	No	0.00%
SANLAM REAL ASSETS FUND D INC GBP	D*	GBP	IE00BDFDLJ08	0.15%	No	No	0.50%
SANLAM REAL ASSETS FUND DH INC USD	DH*	USD	IE00BDFDLK13	0.15%	Yes	No	0.50%
SANLAM REAL ASSETS FUND SI ACC USD	SI*	USD	IE00BG7P3228	0.15%	No	No	0.55%
SANLAM REAL ASSETS FUND SR ACC USD	SR*	USD	IE00BG7P3111	0.15%	No	No	0.85%

Sanlam FOUR STABLE GLOBAL EQUITY CLASS A EUR	A	EUR	IE00B7F6TQ05	0.15%	No	EUR 1,000	0.75%
Sanlam FOUR STABLE GLOBAL EQUITY CLASS A GBP	A	GBP	IE00B8HVVH114	0.15%	No	£1,000	0.75%
Sanlam FOUR STABLE GLOBAL EQUITY CLASS A USD	A	USD	IE00B83TFX77	0.15%	No	\$1,000	0.75%
Sanlam FOUR STABLE GLOBAL EQUITY CLASS B EUR	B*	EUR	IE00B79STG20	0.15%	No	EUR 10,000	0.00%
Sanlam FOUR STABLE GLOBAL EQUITY CLASS B GBP	B*	GBP	IE00B7F8DC07	0.15%	No	£10,000	0.00%
Sanlam FOUR STABLE GLOBAL EQUITY CLASS B USD	B*	USD	IE00B8HRY559	0.15%	No	\$10,000	0.00%
Sanlam FOUR STABLE GLOBAL EQUITY CLASS C EUR	C	EUR	IE00B87WXD88	0.15%	No	EUR 1,000	1.50%
Sanlam FOUR STABLE GLOBAL EQUITY CLASS C GBP	C	GBP	IE00B837WG37	0.15%	No	£1,000	1.50%
Sanlam FOUR STABLE GLOBAL EQUITY CLASS C USD	C	USD	IE00B8HW9Z27	0.15%	No	\$1,000	1.50%
Sanlam FOUR STABLE GLOBAL EQUITY CLASS DISTRIBUTION A GBP	A	GBP	IE00BZ0P2Y70	0.15%	No	£1,000	0.75%
Sanlam FOUR US DIVIDEND FUND CLASS ACCUMULATION A EUR	A	EUR	IE00BVDQ2724	0.15%	No	EUR 1,000	0.75%
Sanlam FOUR US DIVIDEND FUND CLASS ACCUMULATION A GBP	A	GBP	IE00BSP5ZY44	0.15%	No	1000	0.75%
Sanlam FOUR US DIVIDEND FUND CLASS ACCUMULATION A USD	A	USD	IE00BVDQ2617	0.15%	No	\$1,000	0.75%
Sanlam FOUR US DIVIDEND FUND CLASS ACCUMULATION B GBP	B*	GBP	IE00BSP60085	0.15%	No	£10,000	0.00%
Sanlam FOUR US DIVIDEND FUND CLASS ACCUMULATION B USD	B*	USD	IE00BSP5ZZ50	0.15%	No	\$10,000	0.00%
Sanlam FOUR US DIVIDEND FUND CLASS ACCUMULATION H GBP	H	GBP	IE00BF0FCN67	0.15%	Yes	£1,000	0.75%
Sanlam FOUR US DIVIDEND FUND CLASS DISTRIBUTION A EUR	A	EUR	IE00BVDQ2948	0.15%	No	EUR 1,000	0.75%
Sanlam FOUR US DIVIDEND FUND CLASS DISTRIBUTION A GBP	A	GBP	IE00BSP60192	0.15%	No	£1,000	0.75%
Sanlam FOUR US DIVIDEND FUND CLASS DISTRIBUTION A USD	A	USD	IE00BVDQ2831	0.15%	No	\$1,000	0.75%
Sanlam FOUR US DIVIDEND FUND CLASS DISTRIBUTION H GBP	H	GBP	IE00BF0FCP81	0.15%	Yes	£1,000	0.75%

\* Separate agreement with IM required in order to accept subscription.