

Sanlam Bond Account Opening Form (Discretionary)



For clients opening a Seven Investment Management (7IM) account for a Sanlam provided bond.

Please only complete this application after you have spoken to a 7IM Relationship Manager and have been issued an investment proposal. We will not be able to process applications without an investment proposal.

Section 1 – Bond holder details

Please provide details of the main contact for this account.

Title: Mr/Mrs/Miss/Ms/Other:

First Name(s):

Surname:

Section 2 – Illustration reference number

Please insert the illustration number that accompanies this application, this can be found at the bottom of the illustration.

Sanlam illustration reference:

Please make sure this reference is the same on both the Sanlam Onshore Bond application form and the 7IM application form. Also, the application will be processed in line with the terms of the illustration, so please ensure the reference you insert is the version you wish to proceed with.

Section 3 – Financial Adviser Details

You hereby name and appoint the Financial Adviser firm named below to be your agent:

Name of firm:

Full Name of adviser:

Address:

City:

County:

(or country if not UK)

Postcode:

Daytime Tel:

Email:

Section 4 – Financial Adviser Fees

You agree to your Financial Adviser receiving an ongoing payment of:

Fixed value £

OR percentage value %

Please confirm who you would like to facilitate the payment, tick **one** box only.

Sanlam (the payment will be made from the Bond). **OR**

7IM (the payment will be taken from your 7IM account).

Please provide your 7IM account number.

Section 5 – Bondholder(s) Declaration and Signature

Please sign in the spaces below.

Regulations require us to confirm the identity and address of all our clients. Where possible, we will carry out an electronic check of the personal data you have provided. Where we are unable to verify your identity electronically, we may request additional documentation.

You declare that:

- you have appointed the firm named in this form as your Financial Adviser, and that this appointment shall continue in relation to the account;
- you acknowledge that 7IM will share information with your Financial Adviser in accordance with the provisions on data protection in the 7IM Terms and Conditions;
- your Financial Adviser may give instructions as your agent on your behalf; and
- you authorise 7IM to rely and act on any request, communication or instruction from your Financial Adviser without obtaining any further confirmation from you. You acknowledge and agree that you will be responsible for and bound by such request, communication or instruction and any resulting transaction. In the case of withdrawal instructions, you understand that payments will be made to the bank account that we have on our records. The authority you have granted shall be fully effective until 7IM receives written notice of the revocation of this authority. All deals executed by 7IM before our receipt of written revocation of this authority shall be binding.
- You apply to open an account with 7IM for your bond;
- You acknowledge that your bond will be administered by Sanlam;
- You understand that this bond is offered without advice from 7IM, and confirm that you have sufficient experience and understanding to enter into this agreement on that basis or that you have taken advice from a Financial Adviser and wish to proceed;
- This application form has been completed to the best of your knowledge and belief; and
- You agree to the 7IM Terms & Conditions and Fees and Charges provided to you.

Please ensure you have read the 7IM Terms & Conditions and Fees and Charges provided to you carefully before signing this application form. If there is anything you do not understand, please ask for further information.

Your signature(s) is/are required for the completion of this form

Applicant One Signature

Name*

Date*

Applicant Two Signature

Name*

Date*

PLEASE RETURN ALL PAGES OF THIS FORM TO SEVEN INVESTMENT MANAGEMENT, 55 BISHOPSGATE, LONDON EC2N 3AS

For office use only

Account No:

Linked account:

RM initials:

Execution Only 'N' portfolio required? Yes No

Managed Out 'A' portfolio required? Yes No

Earnings Portfolio 'E' portfolio required? Yes No