

Application Form

For assistance in completing this form, please contact us at +353 1 241 7130

Important information:

1. In accordance with UCITS IV Directives, investors need to have received and read the Key Investor Information Document (KIID) for the relevant fund before completing and submitting this form. The KIID can be obtained from www.sanlam.ie or from your broker or adviser. Investors in FSB Section 65 approved funds can obtain MDD documents and information about EAC from www.sanlam.ie
2. Completed forms can be sent for initial review to sanlam.ta@bbh.com. Once the account opening forms have been finalised, please send the forms via fax or email to:

Email: Sanlam.ta@bbh.com or **Fax:** +353 1 241 7131

3. Failure to provide this may result in:
 - A delay to the acceptance and/or payment of a redemption request. No redemptions/ distributions or conversion or transfer requests will be processed unless all documentation requested at the time of application for Shares (including anti-money laundering /counter terrorist financing information) has been obtained, all anti-money laundering/counter terrorist financing procedures have been completed and the Application Form has been received by the Registrar and Transfer Agent.
 - Any amendments to an Investor's registration details or payment instructions will only be affected upon receipt of a duly authorised written instruction from the relevant Investor(s). Pursuant to the Registrar and Transfer Agent standards an original wet ink instruction is required for amendments to payment instructions. Per the Central Bank of Ireland's requirement, transactions will not be processed on non-cleared/verified accounts.
4. The purpose of this form is to enable the opening of an account through which to deal. You will also have been provided with a 'Dealing Form.' This should be used for all dealing of our funds. If you require any assistance in this regard, please contact sanlam.ta@bbh.com or call + 353 1 2417130.

Managed by Sanlam Asset Management (Ireland) Limited. Sanlam Asset Management (Ireland) Limited is authorised and regulated by the Central Bank of Ireland as a UCITS IV Management Company and an Alternative Investment Fund Manager and is licensed as a Financial Service Provider in terms of Section 8 of the South African FAIS Act of 2002.

Incorporated in Ireland • Registered Number 267640 • Beech House, Beech Hill Road, Dublin 4, Ireland Tel: + 353 1 205 3510 Fax: + 353 1 205 3521 E-mail: intouch@sanlam.ie

Section 1 – investor details

Please confirm the capacity in which you are executing this application

Individual Corporate/Nominee Intermediary

Registration details:

First Applicant

Title: Mr Mrs Miss Ms Other

Family Name

First Name Initial

Date of Birth

Individual Investors:

(For Joint Applications please provide for both applicants)

Country of birth: _____

Country of Citizenship: _____

Tax Residence: _____

Occupation: _____

ID No. (South African Clients Only)

Passport number Nationality

PPS number

(if ordinarily resident in Ireland)

Joint Applicants

Family Name

First Name Initial

Date of Birth

Company Name/Nominee/Intermediary

Company Name/Nominee/Intermediary: _____

Country of Tax Residence: _____

Entity Type: _____

Business Activity: _____

Registration Details: Address¹

Registered/Residential Address

¹ No PO Box numbers are permitted for registered address

Correspondence Address (if different)

Phone

Contact number	Country code	<input type="text"/>	City code	<input type="text"/>	Tel	<input type="text"/>
Fax number	Country code	<input type="text"/>	City code	<input type="text"/>	Fax	<input type="text"/>
Mobile	Country code	<input type="text"/>	Cell no	<input type="text"/>		
E-mail ¹ (for general correspondence)	<input type="text"/>					
E-mail ¹ (for contract notes and statements)	<input type="text"/>					

You will receive all statements, valuation reports, annual reports and other notices electronically. If you want to receive any of these in hard copy, please advise the Registrar and Transfer Agent in writing. Statements will be sent monthly via email unless otherwise requested. You can increase the frequency by advising the Registrar and Transfer Agent in writing.

Investor Bank Account Details ^{2,3}

Name of Bank	<input type="text"/>
Swift (all except GBP)	<input type="text"/>
Sort Code (GBP only)	<input type="text"/>
ABA	<input type="text"/>
Beneficiary Account Number	<input type="text"/>
Currency	<input type="text"/>
Beneficiary Name	<input type="text"/>
Bank Address ¹	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
Corresponding Bank	<input type="text"/>
Corresponding Bank Swift	<input type="text"/>
IBAN	<input type="text"/>

² *Mandatory.*

³ *Subscriptions: Money must come from the account detailed above. Redemptions will be paid to the account above. No Third-Party payments will be made.*

Dividend Payments

The present intention of the Directors is to operate a distribution policy for the Funds as set out in the relevant Fund supplements.

Payment will be made by telegraphic transfer in the functional currency to the Shareholders Account unless is for an amount less than the minimum amount as set out in the Fund supplements (depending on the Functional Currency of each share class) or the Shareholder opts for dividend reinvestment by ticking the box below, in which case such payment will be automatically reinvested in the purchase of Shares of the relevant class, for the account of the relevant Shareholder.

The Directors reserve the right to change the dividend policy of the Funds.

Dividend payments will be credited to the applicant's bank account given in Section 1.

To avail of the dividend reinvestment option please tick the box

Section 2 – Financial intermediary

First Applicant

Name	<input type="text"/>		
Address	<input type="text"/>		
Contact Name	<input type="text"/>		
Contact number	Country code <input type="text"/>	City code <input type="text"/>	Tel <input type="text"/>
Fax number	Country code <input type="text"/>	City code <input type="text"/>	Fax <input type="text"/>
Mobile	Country code <input type="text"/>	Cell no <input type="text"/>	
E-mail	<input type="text"/>		

Bank account details (relevant to the financial intermediary only)

Name and address of Bank

<input type="text"/>
<input type="text"/>
<input type="text"/>
<input type="text"/>

Swift (all except GBP)

Sort Code (GBP only)

Account Number

Account Name

IBAN

Correspondent Bank

Reference

Commission instructions

Tick one:

Pay full commission applicable (max 5%)

Pay _____% (max 5%) commission and apply the balance available in purchasing shares for the client

Intermediary's declaration

1. I declare that I am licensed to provide advice under the laws of the country in which the advice is provided.
2. I/we declare that I am/we are applying for the shares on behalf of persons
 - Who will be beneficially entitled to the shares
 - Who to the best of my/our knowledge and belief, are neither resident or ordinarily resident in Ireland and

I /we also declare that unless I/we specifically notify you to the contrary at the time of application, all application made for

- Shares by me/us from the date of this application will be made on behalf of such person; and
- I/we will inform you in writing if I/we become aware that any persons, on whose behalf I/we hold shares becomes resident in Ireland.

Company to my clients

3. I have disclosed all income, including rebates received from the Distributor/Management

If neither of these declarations apply, please tick this box and refer to note #4 under Sections.

Intermediary's signature: _____

Printed name: _____

Date: _____

Stamp:

Section 3 - Required Anti-Money Laundering Documentation for New Investors

Under Irish Anti-Money Laundering legislation, the Company and/or the Registrar and Transfer Agent, acting on behalf of the Company, must obtain information to verify the identity and permanent address of any new investor in the Company in accordance with the requirements of the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 (as amended) which may be further updated over time. We may also be required to determine an investor's source of wealth (and as applicable underlying clients or beneficial owner(s)).

Please note that the documentation requirements set forth hereunder **do not necessarily constitute a definitive list but are listed here for the purposes of providing an indicative guide**. Pursuant to the Registrar and Transfer Agent's current standards, Investor due diligence documentation that is used for AML verification purposes is generally acceptable in copy format, however, original or certified documentation may be requested from time to time. In accordance with the terms of the Prospectus, additional AML (e.g., additional identification and/ or source of wealth) documentation may be required from any applicant at the sole discretion of the Company, Sanlam Asset Management Ireland Limited and/or the Registrar and Transfer Agent.

1. An individual/ Joint investor

- Subscription form with completed declaration of non-Irish residency and bank details
- Copy of current passport or identification card with legible photograph and signature (for each applicant)
- One proof of address is required. Acceptable forms of address include:
 - a copy of a valid driving license,
 - a bank/credit card statement (within last six months)
 - a utility bill (within last six months)
- Source of wealth information

If you are unsure, please use the contact details on page one to obtain assistance

2. Regulated Financial Institution in an EU/ European Economic Area or other jurisdictions with aligned AML standards

- Application form with completed declaration of non-Irish residency and bank details.
- Confirmation of regulation for the investor.
- Authorised signatory list
- Confirmation of Beneficial owners (or Senior managing officials if no natural person beneficial owner(s) exist)
- Further information may be required upon review of your application request

3. Institutional Investor Established as a Corporate Entity

- Application form with completed declaration of non-Irish residency and bank details
- Copy of Authorised signatory list
- Copy of formation documentation
- Copies of names; residential addresses and date of birth of directors/main officials
- Copy of identification for directors and Authorised signatories
- Copies of names; residential/registered addresses (as appropriate) and date of birth/date of incorporation (as appropriate) for beneficial owners of the issued share capital, profit or voting rights, or otherwise exercises control over the management of the entity
- Copies of identification for b beneficial owners of the issued share capital: profit or voting rights, or otherwise exercises control over the management of the entity
- Documented source of wealth information

Other Entity Other entity types will require their own unique documentation which will be communicated to an applicant.

Such other entity types may include, but are not necessarily limited to, the following: • Charities • Government entities • Foundations • Funds • Pension Schemes • Occupational Pension Schemes • Entities listed on a stock exchange • Partnerships or Trusts • Universities • Nominee Structures

Important information

The measures aimed at the prevention of money laundering will require verification of the applicant(s)' identity, address, source of funds including that of any underlying clients or beneficial owners as applicable and/or source of wealth. As such, the Company and/or the Registrar and Transfer Agent reserves the right to request such information as is necessary to verify the identity of an applicant and/or the source of the subscription monies and/or the source of wealth.

In the event of delay or failure by the applicant to produce any information required for verification purposes, the Registrar and Transfer Agent may refuse to accept the application and subscription monies.

In the case of Joint Applicants, all must sign and supply names and addresses in accordance with the "Applicant Registration" section. Please note that only the first-named holder's address will be used for registration. If this Application Form is signed under a power of attorney, a copy of such power of attorney thereof must accompany this form in support of the signature.

While in rare cases shares may be issued on the next available Dealing Day, until such time as the Registrar and Transfer Agent has received and is satisfied with all the information and documentation requested to verify the applicant's identity, no request made by the applicant to either subscribe, redeem and/or transfer the Shares will be granted. The Registrar and Transfer Agent, Sanlam Asset Management Ireland Limited, the Investment Manager and the Company shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information has been required by the parties referred to and has not been provided.

Applicants should note that the Directors, the Investment Manager or the Registrar and Transfer Agent or their agents may refuse to accept a redemption request if it is not accompanied by such additional information as they may in their absolute discretion require. I/we acknowledge that the Company or the Registrar and Transfer Agent on its behalf also reserves the right to refuse to make any redemption payment, transfer of shareholding(s) or distribution to a Shareholder where the Company or the Registrar and Transfer Agent is not satisfied with the information and documentation that has been provided. This power may, without limitation to the generality of the foregoing, be exercised where proper information has not been provided for money laundering verification purposes as described above. Applicants should note in particular that redemption proceeds will not be paid by the Registrar and Transfer Agent to an account which is not in the name of the applicant.

If this Application Form is signed under a power of attorney, such power of attorney or a duly certified copy thereof must accompany this form in support of the signature.

If you are unsure of any of the above guidelines/ instructions, please use the contact details on page one to obtain assistance

4. Source of Wealth - Aggregation of source of funds (Please confirm one of the following)

The Source of Wealth must be described with enough specificity to reasonably describe how the overall wealth, or net worth, was derived. Pursuant to the source of wealth the Administrator may seek further information. In addition, supporting evidence of same may be required. Additionally, in the case of joint Investors, required for each applicant

- a. Savings / Bonus from Salary
- b. Maturity or Surrender of Life Policy
- c. Sale of Investments / Liquidation of Investment Portfolio
- d. Sale of Property
- e. Inheritance
- f. Company Profits
- g. Gift
- h. Employer paying Premium
- i. Other (please state)

Description:

For example: Inheritance

- Name of the person from whom inheritance/gift was received (benefactor)
- Source of wealth of the benefactor
- Relationship between the investor and a benefactor

4. Source of Funds - Activity that generated this specific investment amount (Please confirm one of the following)

- a. Savings / Bonus from Salary
- b. Maturity or Surrender of Life Policy
- c. Sale of Investments / Liquidation of Investment Portfolio
- d. Sale of Property
- e. Inheritance
- f. Company Profits
- g. Gift
- h. Employer paying Premium
- i. Other (please state)

Description:

For example: Income from Employment

- Employment Industry
- Job Title
- Duration of Employment

Data Privacy Notice

This notice is applicable to investors in MLC Global Multi Strategy UCITS Funds plc having their registered office at Beech House, Beech Hill Road, Dublin 4.

By completing the Application Form, you are providing personal data to the MLC Global Multi Strategy UCITS Funds plc. This notice is to make you aware of how the Fund, as data controller holds, uses and processes your personal data, as well as the steps we are taking to ensure our data processing is in compliance with the General Data Protection Regulation (EU/2016/679) ("**GDPR**") and the implementing legislation, Data Protection Acts 1988 to 2018 ("**Data Protection Legislation**").

In this document, "we", "us" and "our" refers to the Fund and its affiliates and delegates.

Scope

This Notice applies to you and to any third parties (including, but not limited to, agents or employees) whose information you provide to us in connection with our relationship with you. It is your responsibility to ensure that any third parties whose personal data you provide to us are aware of their rights in this regard and are provided with a copy of this Notice. Any references to 'you' and 'your' should be construed to include such third parties. We may update this Notice from time to time and we will notify you in writing of any changes we make.

Nature, Purpose and Legal Basis of Processing

The Fund will hold some or all of the following types of your personal data: investor name, e-mail, phone number, address, date of birth, copies of proof of identity documents which may include a photograph, tax identification numbers, account numbers, data on transactions, trading and settlement, and any other information required to perform requested services. Your personal data may be obtained through your initial application for shares in the Fund and your associated interactions with the Fund (including the recording of electronic communications or phone calls where applicable). We may also obtain personal data on you from other public sources.

Your personal data will be processed to process, manage and administer your holding(s) and any related accounts on an on-going basis.

We will process your personal data as necessary for the performance of your contract with us. We will also process personal data as necessary to comply with the Fund's legal obligations. We will inform you in advance if we intend to further process your personal data for a purpose other than those set out above. We may also seek your consent to the processing of your personal data for other specific purposes. You will have the right to withdraw such consent at any time.

Recipients of your personal data

Your personal data will be disclosed to, and processed by, Brown Brothers Harriman Fund Administration Services (Ireland) Limited, which will be the Registrar and Transfer Agent of the Fund for the purposes of this Data Privacy Notice. We will disclose your personal data to the Registrar and Transfer Agent for the purposes of carrying out the services of registrar and transfer agent of the Fund and to comply with legal obligations, including under company law and anti-money laundering/counter terrorist financing legislation or foreign regulatory requirements. The Registrar and Transfer Agent may in turn disclose your personal data to agents or other third parties where necessary to carry out these purposes, including to: Brown Brothers Harriman & Co., Brown Brothers Harriman (Luxembourg) S.C.A., Brown Brothers Harriman (Poland) Sp. Z.o.o. and / or Brown Brothers Harriman (Hong Kong) Limited.

We may also disclose your personal data to:

- The Depository, Brown Brothers Harriman Trustee Services (Ireland) Limited;
- The Administrator, the MLRO, the Manager, the Investment Manager and our or their duly authorised delegates, agents and related, associated or affiliated companies;
- The Irish Revenue Commissioners;
- The Irish Central Bank;
- Agents of the Registrar and Transfer Agent who process the data for anti-money laundering/counter terrorist financing purposes or for compliance with foreign regulatory requirements; and
- Other third parties including legal or financial advisors, regulatory bodies, auditors and technology providers.

We take all reasonable steps, as required by Data Protection Legislation, to ensure the safety, privacy and integrity of your personal data and may, as appropriate, enter into contracts with such third parties to protect the privacy and integrity of any personal data supplied to us.

Transfers of personal data outside the EEA

We may transfer your data to countries outside of the EEA (including but not limited to the United States of America, Hong Kong and South Africa) which may not have the same data protection laws as Ireland. We will take all steps reasonably necessary to ensure that your personal data is treated securely, and that appropriate safeguards are in place to protect the privacy and integrity of such personal data, in accordance with Data Protection Legislation. Please contact us if you wish to obtain more information concerning these safeguards (see 'Contact Us').

Regulatory Checks and Sanctions Regimes

In addition to fulfilling the obligations of the Fund, the Registrar and Transfer Agent may be subject to its own additional anti-money laundering/counter terrorist financing or foreign regulatory requirements, which may include the requirement to cross-check your personal data against applicable governmental sanctions lists, implemented by the European Union, the United Nations and the United States of America. The Registrar and Transfer Agent, in respect of this specific use of personal data, acts as a data controller.

This processing of your personal data is also necessary for the performance of your contract with us and may involve disclosure of your personal data to other BBH Entities (see 'Recipients of your personal Data') and the transfer of your personal data outside of the EEA (see 'Transfers of personal data outside the EEA'). Please contact us using the details below if you want to obtain more information.

Where you do not provide your personal data

If you do not provide us with your personal data, we may not be able to process your investor application. We will tell you when we ask for information which is a contractual requirement or required to comply with our legal obligations.

Security and Retention of your data

The Fund takes all reasonable steps as required by Data Protection Legislation to ensure the safety, privacy and integrity of your personal data. The Fund will retain your personal data only for as long as is necessary to manage your investment, meet regulatory requirements and carry out the purposes set out above and/or to comply with any legal obligations (whichever is longer). Thereafter, we and our duly authorised affiliates and delegates will refrain from collecting any further personal data on you and shall take appropriate steps to dispose of any records containing your personal data, to the extent this is operationally feasible and proportionate. Please contact us if you wish to obtain more information concerning the relevant retention periods (see 'Contact Us').

Your Rights

You have a right to obtain a copy (in an easily readable form) of, and the right to examine and rectify any inaccuracies in, the personal data we hold about you by making a request to us in writing. You also have the right to request erasure, restriction, portability and to not be subject to a decision based on automated processing (including profiling).

You also have the right to object to the processing of your data where we have considered this to be necessary for the purposes of our legitimate interests.

Please note that the right for your data to be erased (the "right to be forgotten") that applies in some contexts is not likely to be applicable to most, if not all, of the personal data we hold, given the specific nature of the purposes for which we use the data, as described above.

You should inform us of any changes to your personal data. If you wish to assert any of your rights, please contact us using the details set out below. We will respond to your request in writing, or orally, if requested, as soon as practicable and in any event not more than one month after receipt of your request.

You have the right to lodge a complaint with the Data Protection Commission (our lead supervisory authority), or a supervisory authority in the EU member state of your usual residence if you are unhappy with how your personal data is being handled.

Contact Us

If you have any queries regarding this Data Privacy Notice, please contact the Data Protection Officer at Sanlam Asset Management (Ireland) Limited by email to DataProtectionOfficer@sanlam.ie.

Data Protection Acknowledgement

By checking this box, I/we confirm that I/we have read and acknowledge the Data Privacy Notice above

Section 4 – Investor declarations and signatures

This section must be signed to open an account

In signing this Application Form:

- i. I/We acknowledge receipt of and have read the Prospectus, Supplement(s) and KIID / MDD / factsheet for MLC Global Multi Strategy UCITS Funds plc.
- ii. I/We certify that I/we have full legal capacity to purchase shares in MLC Global Multi Strategy UCITS Funds plc or that I had the necessary assistance if required.
- iii. If in the case of a corporate account I/we shall forward to the Registrar and Transfer Agent a list of those authorised individuals who may also instruct on this account.
- iv. I/We acknowledge and certify that I/we understand that an investment in MLC Global Multi Strategy UCITS Funds plc is not insured by any governmental or regulatory agency and is not an obligation of, nor guaranteed by Sanlam Asset management (Ireland) Ltd nor Brown Brothers Harriman Fund Administration Services (Ireland) Limited any of its affiliates or any commercial bank.
- v. Survivorship in connection with Joint Accounts (in respect of joint applicants only) we direct that on the death of one of us the Shares for which we hereby apply be held in the name of and to the order of the survivor or survivors of us or the executor or administrator of the last survivor.
- vi. AML representations including ongoing requirement for documents:
 - Acknowledge that because of anti-money laundering requirements operating within their respective jurisdictions, the Company and/or the Registrar and Transfer Agent acting on behalf of the Company may require further information, including identification of the Applicant(s) before the application can be processed. I/we further acknowledge that any delay in providing such documentation may result in delayed processing of the application of shares and/or the delayed payment of any future redemption or distribution payments or processing of share transfer requests. The Company and the Registrar and Transfer Agent shall be held harmless and indemnified against any loss arising from the failure to process this application if such information as is required from the Applicant(s) has not been provided by the Applicant(s).
 - In order to meet the requirements of anti-money laundering regulations on an ongoing basis it may be necessary to, and the Company and the Registrar and Transfer Agent reserves the right to, request further information in the future so as to reaffirm the identity of an Investor or any of the entity(ies) or person(s) on whose behalf the Investor is acting and/ or the source of subscription monies.
- vii. I/We understand that this application must be completed in its entirety and sent to the Registrar and Transfer Agent in accordance with deadlines laid down in the Prospectus and Supplement(s), along with any additional documentary evidence required by the Registrar and Transfer Agent.
- viii. I/We agree to notify the manager and the Registrar and Transfer Agent immediately if I/we become aware that any of the representations are no longer accurate and complete in all respects and we will abide by any directions from the manager and the Registrar and Transfer Agent arising therefrom and understand that the representations are continuous and apply to all subsequent subscriptions for shares by the Applicant in the Fund
- ix. I/We confirm that the Registrar and Transfer Agent may receive and act upon our written instructions, which the Registrar and Transfer Agent believes in good faith to be given by me/us. I/We agree to hold the Registrar and Transfer Agent harmless and to indemnify the Registrar and Transfer Agent for any loss or damage which the Registrar and Transfer Agent may suffer as a result of acting on such written instructions.
- x. I confirm that the intermediary whose details appear in this document, is my appointed advisor and that I have I am aware and have agreed to any remuneration he receives
- xi. Terms used in this Application Form not defined in the Application Form shall be defined in the Prospectus and Supplements(s).
- xii. SELF-CERTIFICATION FOR FATCA AND COMMON REPORTING STANDARD (“CRS”)

I/ We understand that you are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. This self-certification is intended to address this obligation.

I/We note that in certain circumstances you may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities.

Investors should note that the self-certification is intended to request information only where such request is not prohibited by Irish law. If you have any questions about this self-certification or defining your or an entity's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser. For further information on FATCA or CRS please refer to the Irish Revenue website at:

<http://www.revenue.ie/en/business/aeoi/index.html>

Or in the case of CRS only, the following link:

<http://www.oecd.org/tax/automatic-exchange/>

If any of the information below about the tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

Where an investor is an INDIVIDUAL, please complete self-certification A

Where an investor is an ENTITY, please complete self-certification B

Self-certification A – Individuals

Please note that where there are joint or multiple account holders, each investor is required to complete a separate self-certification

1. Place Of Birth

Town or City of Birth: _____ Country of Birth: _____

2. FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes:

Please tick either (i) or (ii) and complete as appropriate.

(i) I confirm that [I am]/[the investor is] a U.S. citizen and/or resident in the U.S. for tax purposes and [my]/[its] U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

OR

(ii) I confirm that [I am not]/[the investor is not] a U.S. citizen or resident in the U.S. for tax purposes.

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3. CRS Declaration of Tax Residency (please note you may chose more than one country)

Please indicate your/ the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers ("TIN"). Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency	Tax ID Number

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

4. Type of Controlling Person

(ONLY to be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution – For further guidance see: <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>)

For joint or multiple Controlling Persons please complete a separate Self-Certification form for each Controlling Person

Please confirm what type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box.	Please Tick		Entity Name
Controlling Person of a legal person – control by ownership	<input type="checkbox"/>	<input type="checkbox"/>	
Controlling Person of a legal person – control by other means	<input type="checkbox"/>	<input type="checkbox"/>	
Controlling Person of a legal person – senior managing official	<input type="checkbox"/>	<input type="checkbox"/>	
Controlling Person of a trust – settlor	<input type="checkbox"/>	<input type="checkbox"/>	
Controlling Person of a trust – trustee	<input type="checkbox"/>	<input type="checkbox"/>	
Controlling Person of a trust – protector	<input type="checkbox"/>	<input type="checkbox"/>	
Controlling Person of a trust – beneficiary	<input type="checkbox"/>	<input type="checkbox"/>	
Controlling Person of a trust – other	<input type="checkbox"/>	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) – settlor-equivalent	<input type="checkbox"/>	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent	<input type="checkbox"/>	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) – protector-equivalent	<input type="checkbox"/>	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent	<input type="checkbox"/>	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) – other-equivalent <u>Self-</u>	<input type="checkbox"/>	<input type="checkbox"/>	

certification B – Entities

1. FATCA Declaration Specified U.S. Person:

Please tick either (i), (ii) or (iii) below and complete as appropriate.

i) The Entity is a Specified U.S. Person and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows:

U.S. TIN: _____ OR

ii) The Entity is not a Specified U.S. Person

OR

iii) The Entity is a US person but not a Specified U.S. Person

2. Entity's FATCA Classification

(the information provided in this section is for FATCA, please note your classification may differ from your CRS classification in Part 4 below):

Financial Institutions under FATCA

If the entity is a Financial Institution, please tick one of the below categories and provide the entity's Global Intermediary Identification Number (GIIN)

i.	Irish or other Partner Jurisdiction Financial Institution (e.g. UK, South African or other IGA Model 1 Jurisdictions)	
ii.	Registered Deemed Compliant Foreign Financial Institution	
iii.	Participating Foreign Financial Institution	

Please provide the entity's GIIN: _____

If the entity is a Financial Institution but unable to provide a GIIN, please tick one of the below reasons:

i.	The entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN Please provide the Sponsor's name and GIIN: Sponsor's Name: _____ Sponsor's GIIN: _____	
ii.	Exempt beneficial owner	
iii.	Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the IGA)	
iv.	Non-Participating Foreign Financial Institution	
v.	Excepted Foreign Financial Institution	

Non-Financial Institutions under FATCA

If the entity is not a Financial Institution, please tick one of the below categories:

i.	Active Non-Financial Foreign Entity	
ii.	Passive Non-Financial Foreign Entity (If this box is ticked, please include self-certifications for each of your controlling persons – refer to Self-certification A – Individuals)	
iii.	Excepted Non-Financial Foreign Entity	

3. CRS Declaration of Tax Residency

(please note you may chose more than one country)

Please indicate entity's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers ("TIN").

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN. If the entity is not tax resident in any jurisdiction (e.g. because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

Country of Tax Residency	Tax ID Number

4. Entity CRS Classification

(please note, the information provided in this section relates to the Common Reporting Standard. An entity classification under the Common Reporting Standard may differ from its FATCA classification under Part 2 above)

Financial Institutions under CRS:

If the entity is a Financial Institution under CRS, please tick one of the below categories

i.	Financial Institution under CRS (other than (ii) below)
ii.	An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (if this box is ticked, please indicated the name of any Controlling Person(s) of the Entity below and complete a separate individual self-certification (Self-certification A – Individuals above) for each of your Controlling Persons. If there are no natural person(s) who exercise control of the entity, then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the entity Controlling Person(s): (Only if applicable) _____ _____ _____ _____

Non-Financial Institutions under CRS:

If the entity is a Non-Financial Institution under CRS, please tick one of the below categories

i.	Active Non-Financial Entity – a corporation, the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation	
ii.	Active Non-Financial Entity – a Government entity or Central Bank	
iii.	Active Non-Financial Entity – an International Organisation	
iv.	Active Non-Financial Entity – other than (i)-(iii) (e.g. a start-up Non-Financial Entity or a non-profit Non-Financial Entity)	
v.	Passive Non-Financial Entity (if this box is ticked, please complete a separate individual self-certification (Self-certification A – Individuals above) for each of your Controlling Person (s))	

- xii. I/We declare that the information contained in the declarations and the self-certifications completed above are true and correct.
- xiii. I/We acknowledge that the information contained in this Application Form and information regarding the Account Holder may be reported to tax authorities of the country in which this account(s) is /are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into agreements to exchange financial account information.
- xiv. Applicants resident outside of Ireland are required by the Irish Revenue Commissioners to make one of the following declarations, which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares.
Terms used in this declaration are defined in the Prospectus.

Please tick A where relevant and delete * as appropriate

A. Declaration on own behalf

I/We* declare that I am/we are* applying for the units/shares on my own/our own behalf/on behalf of a company* and that I am/we are/the company* is entitled to the shares in respect of which this declaration is made and that

- I am/we are/the company is* not currently resident or ordinarily resident in Ireland, and
- should I/we/the company* become resident in Ireland I/we* will so inform you, in writing, accordingly.

B. Declaration as intermediary

I/we* declare that I am/we* are applying for shares on behalf of persons:

- who will be beneficially entitled to the shares, and
- who to the best of my/our* knowledge and belief, are neither resident or ordinarily resident in Ireland, and

I/we* also declare that

- unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us* from the date of this application will be made on behalf of such persons; and
- I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* hold shares, becomes resident or ordinarily resident in Ireland

C. If neither of these declarations apply please tick this box and refer to note #4 below

In signing this Application Form:

I/We declare that the KIID(s) has/have been provided to us in good time prior to making the application for shares and that I/we have read, reviewed and understood the nature and the risks of the investment product that is being offered to me/us.

We hereby acknowledge and agree that the updated KIID for each share class is available from the Investment Manager's website at www.sanlam.ie and that I/we will read and review the most up-to-date version of the relevant KIID(s) prior to making any subsequent application for shares.

I/We are aware that copies of the Prospectus, latest annual and semi-annual reports, KIID(s) and other information may be obtained from the Registrar and Transfer Agent, Brown Brothers Harriman Fund Administration Services (Ireland) Limited, 30 Herbert Street, Dublin 2, Ireland. I/We give full consent to receiving this information and the Prospectus, latest annual and semi-annual reports and KIID(s) by means of electronic communication.

Where I/we are investing as nominee, we shall provide our client(s) with the KIID issued in respect of the relevant Share class and we shall ensure that we do so in compliance with Commission Regulation (EU) No. 583/2010, as amended from time to time or by any supplemental legislation and in compliance with the applicable legislation in any jurisdiction in carrying out this activity. We shall maintain records of our provision of the KIID to our clients and shall furnish such records to the Company, or its delegates, upon request.

Applicant(s) name:

Signature of applicant/ authorised signatory

First Applicant: _____

Second Applicant: _____ Date: _____

Printed Name (for legal entity): _____

Important information which must be read

1. *Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.*
2. *To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each applicant must sign. In the case of a company, the declaration must be signed by the company secretary or other authorised officer.*
3. *If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney should be furnished in support of the signature.*
4. *If you are resident or ordinarily resident in Ireland or are an exempt resident as defined in the prospectus or are an Irish resident company within the charge to corporation tax, please contact the Registrar and Transfer Agent immediately.*
5. **Conflicts of Interests**
All actual and potential Conflicts of Interest are managed, reported and disclosed in line with the Managers Conflicts of Interests and Outsourcing policy which is available on request.
6. **Complaints**
We want to give you outstanding Client Service but sometimes things go wrong. If you have a complaint, please get in touch – Telephone +353 1 2417130 or Fax +353 1 241 7131. Complaints will be investigated fully; we will resolve as soon as possible whilst keeping you updated on progress.
7. **SAMI**
Sanlam Asset Management is authorised and regulated by the Central Bank of Ireland as a UCITS IV Management Company and an Alternative Investment Fund Manager and is licensed as a Financial Service Provider in terms of Section 8 of the South African FAIS Act of 2002. Incorporated in Ireland. Registered Number 267640
8. **Regulatory Statement**
9. *MLC Global Multi Strategy UCITS Funds plc is authorised and regulated by the Central Bank of Ireland, as undertakings for collective investments in transferable securities. The Funds are managed by Sanlam Asset Management, Beech House, Beech Hill Road, Dublin 4, Ireland, Tel + 353 1 205 3510, Fax + 353 1 205 3521 which is authorised by the Central Bank of Ireland, as a UCITS Management Company, and an Alternative Investment Fund Manager, and is licensed as a Financial Service Provider in terms of Section 8 of the South African FAIS Act of 2002.*
The full prospectus, the Fund supplement, the MDD/Fact sheet, and if applicable the KIID, is available free of charge from the Manager or at www.sanlam.ie. This is neither an offer to sell, nor a solicitation to buy any securities in any fund. Any offering is made only pursuant to the relevant offering document, together with the current financial statements of the relevant fund, and the relevant subscription application forms, all of which must be read in their entirety together with the Prospectus, Fund supplement, the MDD/Factsheet and if applicable the KIID. No offer to purchase securities will be made or accepted prior to receipt by the offeree of these documents, and the completion of all appropriate documentation.
If the sub fund is Section 65 approved fund under the Collective Investment Schemes Control Act 45, 2002 (CISCA). Sanlam Collective Investments (RF) (Pty) Ltd is the South African Representative Office for this fund.
Although all reasonable steps have been taken to ensure the information in the portfolio fact sheet/MDD is accurate, Sanlam Asset Management does not accept any responsibility for any claim, damages, loss or expense; however it arises, out of or in connection with the information. No member of Sanlam gives any representation, warranty or undertaking, nor accepts any responsibility or liability as to the accuracy of any of this information. The information to follow does not constitute financial advice as contemplated in terms of the South African Financial Advisory and Intermediary Services Act. Use or rely on this information at your own risk. Independent professional financial advice should always be sought before making an investment decision.
Independent Financial advice should be sought as not all investments are suitable for all investors.
Collective Investment Schemes (CIS) are generally medium to long term investments. The value of participatory interests may go down as well as up and past performance is not necessarily a guide to the future. Fluctuations or movements in exchange rates may cause the value of underlying investments to go up or down.
The fund price is calculated on a net asset value basis, which is the total value of all assets in the portfolio including any income and expense accruals. Trail commission and incentives may be paid and are for the account of the manager. International investments or investments in foreign securities could be accompanied by additional risks such as potential constraints on liquidity and repatriation of funds, macroeconomic risk, political risk, foreign exchange risk, tax risk, settlement risk as well as potential limitations on the availability of market information.
The Manager has the right to close any Portfolios to new investors to manage them more efficiently in accordance with their mandates. Collective Investment Schemes are traded at ruling prices and can engage in borrowing and scrip lending, as detailed in each supplement.