

# Institutional Stockbroking and Securities Trading

Sanlam Securities is the UK Institutional Stockbroking arm serving Sanlam's global client base.

Trading securities in today's increasingly complex and uncertain financial markets requires the right expertise, experience, relationships and platform. Investing in the latest technology and keeping up with ongoing regulatory changes is also a challenge.

That is why many portfolio managers choose to outsource some or all of their execution requirements to Sanlam Securities. Working with a trading specialist allows them to focus on what they do best - identifying investment opportunities and managing their portfolios.

## Institutional stockbroking and securities execution services

Based in London, Sanlam Securities provides institutional stockbroking and securities execution services across all major asset classes to a global client base. We are committed to fostering long-term customer relationships through transparency and attention to detail. This approach brings together a wealth of experience and commitment to securities trading, along with efficient access to global capital markets.

Our established relationships with brokers and market makers across the world include large investment banks and niche regional players. Access to such a large network of participants and trading platforms allows us to buy and sell orders of any size with minimal impact on the market, while maintaining client anonymity, even in less liquid securities.

## An experienced equity stockbroking and trading team

Sanlam Securities' trading desk offers efficient execution of buy and sell orders for a broad universe of securities and funds. One of our key strengths is our ability to match natural retail and institutional flows with our diverse client base. Our clients include internal Sanlam funds, external banks, pension funds, hedge funds and wealth managers.

Our highly skilled management team take the time to understand the investment reasons behind every trade and devise appropriate strategies according to order size, price volatility, flows, spreads and timing. The stockbroking team can also take a currency view to see if exchange rates will affect the trade or if we can get an advantage on a security that trades in multiple currencies.

Our sales trading team aims to keep clients informed of its daily business flow and looks to offer an unrivalled execution service to all clients, regardless of order size or frequency. Communication is at the centre of every trade - we make sure you are always in control of the execution function by ensuring we interpret your intentions accurately and efficiently.

## Why should you choose Sanlam Securities?

Sanlam Securities offers a range of benefits, including:

- Highly qualified traders with an average of 20 years' experience
- Direct access to almost every global exchange
- No proprietary conflicts - we do not make markets or have a proprietary book
- Matching flow where possible to provide execution at best prices

- Excellent contacts and reputation in the UK and worldwide among banks and market makers
- A one-stop-shop for all securities trading

## Legal documents and policies

- **Anti-Bribery Policy**
- **Conflicts of Interest Policy**
- **Terms of Business**
- **RT 28 Broker Disclosure**
- **RTS 28 Venue Disclosure**
- **Order Execution Policy**