

# Legal and Regulatory

Please find our Legal and Regulatory policies, client terms and reports below.

## General Policies

- **[Cookie Policy](#)**
- **[Website Privacy Policy](#)**
- **[Website Terms of Use](#)**
- **[Modern Slavery Act Transparency Statement](#)**

## Sanlam Wealth

- **[Sanlam Private Wealth Terms of Business - Current](#)**
- **[Sanlam Private Wealth Offshore Terms of Business - Current. < <http://www.sanlam.co.uk/document-repository/legal-documents/2048-spw-offshore-tob-august-2020>>](#)**
- **[Previous versions of Sanlam Private Wealth Terms of Business](#)**
- **[White List Portfolio ISA Terms of Business- Current < <http://www.sanlam.co.uk/document-repository/legal-documents/1935-white-llst-portfolio-isa-terms-of-business-ja>>](#)**
- **[Sanlam Wealth Planning Client Agreement](#)**
- **[Sanlam Wealth Conflict of Interest Policy](#)**
- **[Sanlam Private Wealth - Cash interest rates](#)**
- **[Order Execution Policy](#)**
- **[Pillar III disclosure < <https://www.sanlam.co.uk/Web/media/Documents/SPW-Pillar-III-Disclosure.pdf>>](#)**
- **[Execution Venues Retail Clients 2019](#)**
- **[Execution Venues Retail Clients 2019 spreadsheet](#)**
- **[Execution Venues Professional Clients 2019](#)**
- **[Execution Venues Professional Clients 2019 spreadsheet](#)**
- **[Execution Venues Retail Clients 2020](#)**
- **[Execution Venues Retail Clients 2020 spreadsheet](#)**
- **[Execution Venues Professional Clients 2020](#)**
- **[Execution Venues Professional Clients 2020 spreadsheet](#)**
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## Sanlam Investments and Pensions

- **[Board diversity policy - Sanlam Life and Pensions Limited](#)**
- **[Sanlam Portal Terms and Conditions](#)**
- **[Terms of Business for Intermediaries](#)**
- **[Best Execution Policy](#)**

- **FSCS compensation limits**
- **Shareholder Engagement Disclosure - 2020**
- **Terms of Reference for Investment Pathways Governance Advisory Arrangement**
- **Terms of Reference for Relevant Pension Schemes Governance Advisory Arrangement** < <http://www.sanlam.co.uk/document-repository/legal-documents/tor-sanlam-uk-gpp>>

## Sanlam Investments

- **Sanlam Investments legal disclaimer**
- **Pillar 3 Risk Disclosure Statement** < <https://www.sanlam.co.uk/Web/media/Documents/SI-Pillar-III-Disclosure.pdf>>
- **MDD Income Distributions**
- **Execution Venues 2020**
- **Execution Venues 2020 spreadsheet**
- **Execution Venues 2019**
- **Execution Venues 2019 spreadsheet**
- **Sanlam Investments and Sanlam Private Wealth Engagement Policy**
- **Order Execution Policy**
- **Shareholder Engagement Annual Disclosure 2020**
- **Fund Reports and Accounts** < <https://www.sanlam.com/ireland/about/Pages/fund-reports.aspx>>
- **Responsible Investment**

## SSUK

- **Anti-Bribery Policy**
- **Conflicts of Interest Policy**
- **Terms of Business**
- **Execution Venues 2020**
- **Execution Venues 2020 spreadsheet**
- **Execution Venues 2019**
- **Execution Venues 2019 spreadsheet**
- **Order Execution Policy**

## Sanlam Private Wealth South (formerly Thesis)

For documents relating to service previously covered by Thesis Asset Management, please get in touch with your usual Thesis (now Sanlam) contact.

## Privacy Statements and Forms

- **Client Privacy Statement**
- **Intermediary Privacy Statement (for introducers to Sanlam Private Wealth and Sanlam Investments and Pensions)**
- **Sanlam Investments Privacy Statement**

- **Data Subject Access Request Form**
- **Data Subject Portability Request Form**

#### Financial statements and condition reports

- **Execution quality reports 2017**
- **Financial statements and condition reports**
- **Merchant Investors Staff Pension Scheme - Statement of Investment Principles**
- **Merchant Investors Staff Pension Scheme - Implementation Statement**

#### COVID-19 health, safety & wellbeing risk assessment

- **Risk assessment**